Docket No.: 147676.01 **PATENT**

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

First Named Inventor: Joseph M. Joy

Application No.: 09/769,438

Filed: 1/26/2001

Customer No.:

22971

Attorney Docket No.: 147676.01

Group Art Unit: 2661

Examiner: Van Kim T Nguyen

Confirmation Number: Not Available

Title: Method and Apparatus for Emulating Ethernet Functionality Over A Serial Bus

Mail Stop Amendment Commissioner for Patents P.O. Box 1450 Alexandria VA 22313-1450

STATEMENT FILED PURSUANT TO THE DUTY OF DISCLOSURE UNDER 37 C.F.R. §§ 1.56, 1.97 AND 1.98

Sir:

Pursuant to the duty of disclosure under 37 C.F.R. §§ 1.56, 1.97 and 1.98, the Applicant requests consideration of this Information Disclosure Statement.

PART I: Compliance with 37 C.F.R. § 1.97

This Information Disclosure Statement has been filed before the mailing date of a first Office Action after the filing of a request for continued examination under 37 C.F.R. 1.114. No fee or certification is required.

PART II: Additional Information

The Applicant would like to bring to the Examiner's attention the following copending applications that may contain subject matter related to this application:

Serial No.

Filing Date

Inventor(s)

11/034,744

January 14, 2005

Joy, et al.

Docket No.: 147676.01 PATENT

PART III: Remarks

The Applicant hereby makes of record in the above-identified application the information listed on the attached forms PTO/SB/08A. The order of presentation of the references should not be construed as an indication of the importance of the references. Documents cited on the attached forms PTO/SB/08A and 08B are enclosed unless otherwise indicated. It is respectfully requested that:

- 1. The Examiner consider completely the cited information, along with any other information, in reaching a determination concerning the patentability of the present claims;
- 2. The enclosed form PTO/SB/08A be signed by the Examiner to evidence that the cited information has been fully considered by the Patent and Trademark Office during the examination of this application;
- 3. The citations for the information be printed on any patent which issues from this application.

By submitting this Information Disclosure Statement, the Applicant makes no representation that a search has been performed, of the extent of any search performed, or that more relevant information does not exist.

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, material to patentability as defined in 37 C.F.R. § 1.56(b).

By submitting this Information Disclosure Statement, the Applicant makes no representation that the information cited in the Statement is, or is considered to be, in fact, prior art as defined by 35 U.S.C. § 102.

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Notwithstanding any statement by the Applicant, the Applicant urges the Examiner to form his own conclusion regarding the relevance of the cited information. An early and favorable action is hereby requested.

Respectfully submitted,

MICROSOFT CORPORATION

Date: 3-18-2005

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CERTIFICATE OF MAILING OR TRANSMISSION [37 CFR 1.8(a)]

I hereby certify that this correspondence is being:

deposited with the United States Postal Service on the date shown below with sufficient postage as first class mail in an envelope addressed to: Mail Stop Amendment, Commissioner for Patents, P. O. Box 1450, Alexandria, VA 22313–1450

transmitted by facsimile on the date shown below to the United States Patent and Trademark Office at (703) ______.

3-18-2005

Date

Signature

Steven J. Spellman

Type or Print Name

Substitute for form 1449/PTO	Con	mplete if Known
INFORMATION DISCLOSURE	Application Number	09/769,438
STATEMENT BY APPLICANT (use as many shows as necessary)	Filing Date	1/26/2001
TO T	First Named Inventor	Joseph M. Joy
MAR 2 4 2005	Art Unit	2661
MAR 2 4 2005 W	Examiner Name	Van Kim T Nguyen
Sheet of 1	Attorney Docket Number	147676.01

			U.S. PATENT DOCUME	ENTS	
Examiner Initials*	Cite No. ¹	Document Number Number - Kind Code ² (if known)	Publication Date MM-DD-YYYY	Name of Patentee or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
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	FOREIGN PATENT DOCUMENTS					
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^{*} EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

Applicant's unique citation designation number (optional). ² See Kinds Codes of USPTO Patent Documents at www.uspto.gov or MPEP 901.04. ³ Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). ⁴ For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. ⁵ Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST.16 if possible. ⁶ Applicant is to place a check mark here if English language Translation is attached.